

### **Finra Series 79 Study Guide**

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(and less relevant) Series 7 exam.

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For more information on registration requirements, refer to FINRA Rule 1210. The SIE exam is a corequisite to the Series 79 exam. Candidates must pass both the Series 79 exam and the SIE exam to obtain the Investment Banking registration. For more information on the registration process, see Register a New Candidate.

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