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Series 79 Exam (Practice Test)
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Overview of the Series 79 Exam. The Series 79 exam, also called the Investment Banking Representative Qualification Examination, is an exam administered by FINRA for investment banking professionals. As long as the investment banker is engaged exclusively in investment banking activities, this exam can be taken instead of the far broader (and less relevant) Series 7 exam.

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Series 79 – Investment Banking Representative Exam - FINRA Series 79 Exam Study Guide with Practice Questions From this data collection conducted by FINRA, four major functions were found to be the responsibilities of entry-level investment bankers. Under each function, major tasks were identified that were required to be performed.

Finra Series 79 Study Guide

For more information on registration requirements, refer to FINRA Rule 1210. The SIE exam is a corequisite to the Series 79 exam. Candidates must pass both the Series 79 exam and the SIE exam to obtain the Investment Banking registration. For more information on the registration

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